

SCHEDA ANAGRAFICA

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CODICE FISCALE	11366920962
PARTITA IVA	11366920962
CODICE ABI	16500.1
CODICE CED	
N° SEDI SECONDARIE/FILIALI	1
CAPITALE SOCIALE	610.000
N° ISCRIZIONE ALBO	308

CONSIGLIO DI AMMINISTRAZIONE

PRESIDENTE	Brian Sinclair Robertson
VICE-PRESIDENTE	
AMMINISTRATORI DELEGATI	
CONSIGLIERI	Stefano Carinelli, Ubaldo Caracino
DIRETTORE GENERALE	Daniele Crisci

ORGANI DI CONTROLLO

PRESIDENTE COLLEGIO SINDACALE	Andrea Cerini
SINDACI EFFETTIVI COLLEGIO SINDACALE	Daniela Eugenia Caporicci, Filippo Colonna
SINDACI SUPPLEMENTI COLLEGIO SINDACALE	Andrea De' Mozzi, Patrizia Libera Ciociola
SOCIETA' DI REVISIONE	BDO ITALIA S.P.A.
AUDITOR	Massimiliano Forte
COMPLIANCE OFFICER	Roberto Giribaldi
RISK MANAGER	Matthew Anthony Longden

AREE FUNZIONALI

Institutional Sales	Brian Sinclair Robertson
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PARTECIPAZIONI AL CAPITALE SOCIALE

Socio	Quota	Settore	Nazione
Imperial Capital (International) LLP	22,60 %	Finanziario	UK
Imperial Capital (Holdings) LTD	77,40 %	Finanziario	UK

SERVIZI E ATTIVITA' DI INVESTIMENTO

NEGOZIOAZIONE CONTO PROPRIO	NO
ESECUZIONE DI ORDINI PER CONTO DEI	NO
SOTTOSCRIZIONE E/O COLLOCAMENTO CON	NO
COLLOCAMENTO SENZA GARANZIA	NO
GESTIONE DI PORTAFOGLI	NO
RICEZIONE E TRASMISSIONE DI ORDINI	SI

CONSULENZA IN MATERIA DI INVESTIMENTI	NO
GESTIONE DI SISTEMI MULTILATERALI DI	NO

SOCIETA' DEL GRUPPO EMITTENTI STRUMENTI FINANZIARI**SOCIETA' DEL GRUPPO AUTORIZZATE ALLA PRESTAZIONE DEI SERVIZI E ATTIVITA' DI INVESTIMENTO**

Imperial Capital (International) LLP

Imperial Capital LLC

TRADING VENUES

TRADING VENUES

TRADING ON LINE

ALTRE INFORMAZIONI

Imperial Capital Società di Intermediazione Mobiliare S.p.A. (Imperial Capital SIM SpA) is an investment firm authorised and regulated by the Italian Authority for the Financial Markets to offer investment services in Italy and other 16 EU jurisdictions (namely Austria, Belgium, Bulgaria, Croatia, Denmark, France, Germany, Greece, Ireland, Luxembourg, Malta, Netherlands, Portugal, Slovak Republic, Spain and Sweden).

Mission of Imperial Capital SIM SpA is to be the driving center of Imperial Capital Group for its services in Continental Europe through an expansion of its existing business model to better serve European institutional clients. The Firm, following the success of the UK operations, benefits the Group's longstanding expertise across the global capital structure, its comprehensive and integrated sales and trading platform, and the deep industry sector knowledge of its research analysts.

Our seasoned team of institutional sales professionals meet the demand for a fully-integrated trading platform – driven by a focus on sophisticated analysis – that delivers ideas, execution, and liquidity to the institutional investment community. Our professionals specialize in providing specific and unique insights across the entire capital structure of issuers in the Americas and Europe.

Imperial Capital Group is a full-service investment bank offering a uniquely integrated platform of comprehensive services to institutional investors and middle market companies. The Group offers sophisticated sales and trading services to institutional investors and a wide range of investment banking advisory, capital markets and restructuring services to middle market corporate clients. The Group provides its securities and investment banking services through four affiliated entities: Imperial Capital, LLC, Imperial Capital International LLP, Imperial Capital SIM S.P.A. and Imperial Capital Israel LTD.